ACCOUNTABILITY FRAMEWORK

A new business model is emerging at the United Nations – one where governments, businesses, investors, and civil society gather to solve global problems. In line with this new model, the Sustainable Energy for All initiative – like the Every Woman Every Child initiative before it – presents a new cooperative path to encourage the energy transformation that the world requires.

United Nations Secretary-General Ban Ki-moon and World Bank President Jim Yong Kim are calling on governments, businesses, and civil society to commit to taking concrete actions to achieve Sustainable Energy for All by 2030. These actions will provide significant benefits, including strengthened economic growth, expanded social equity, and a cleaner environment.

Accountability is a critical aspect of the initiative. A robust Accountability Framework will enable transparent recognition and tracking of voluntary commitments to the initiative, facilitating feedback and learning. The framework will complement the initiative's Global Tracking Framework, which measures overall progress on the three objectives.

This document provides the context for, and lays out, such a framework. It is intended as a living document – one that will evolve over time as the initiative develops, particularly as country commitments grow in importance.

1. MEASURING PROGRESS

A framework for global monitoring and reporting will provide transparency to the commitment process and contribute to the sharing of best practices. It will help show the need for policy and institutional reforms, as well as resource commitments to achieve results. It will also increase awareness of the wide range of actions underway, and the opportunities for synergies, partnerships, and coordination.

Tracking progress towards the initiative's three objectives — on energy access, energy efficiency, and renewable energy — is critical to showing where the initiative stands, how much remains to be accomplished, how individual actions are contributing, and where more focus is needed.

Measurement of progress is being carried out at two levels:

GLOBAL TRACKING FRAMEWORK

GLOBAL BIENNIAL ASSESSMENT OF PROGRESS ON THE THREE OBJECTIVES

ACCOUNTABILITY FRAMEWORK

FRAMEWORK FOR TRACKING COMMITMENTS AND PROGRESS ON COMMITMENTS ON AN ANNUAL BASIS
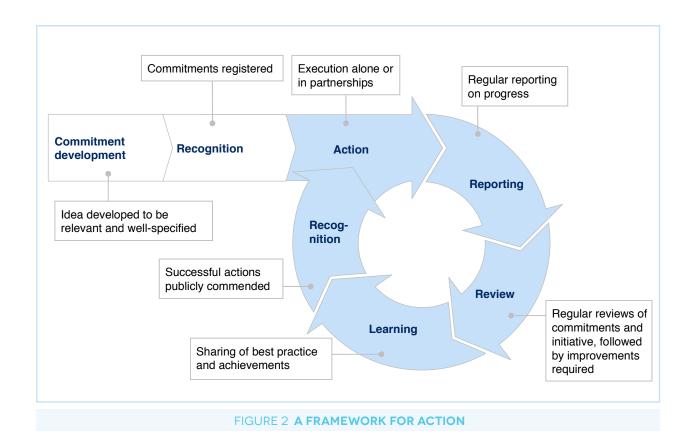
▶ Global Tracking Framework – At the global level, analyses will be assembled and consolidated to assess the reach of energy access, the share of renewables, and the rate of improvement of energy efficiency to assess whether the world is on track to achieve the objectives of Sustainable Energy for All. To this end, the initiative has developed a Global Tracking Framework to provide a status report on progress towards achievement of the three objectives. This framework will be updated biennially to assess ongoing progress.

▶ Accountability Framework – At the level of individual commitments, commitment-makers are responsible for establishing milestones to keep track of their progress. Commitment reporting and aggregation will also reflect progress on the initiative's three objectives, but from the "bottom up." Such monitoring and reporting will also inform progress on High-Impact Opportunities, to assess whether sufficient progress is being made relative to their potential contribution.

Tracking progress at both levels will prompt ongoing dialogue and debate about the pace and scale of change. It will also provide a basis for regularly updating the Action Agenda that guides the initiative. Measuring progress will enable participants and other stakeholders to revisit, refine, and steer Action Agenda priorities to address changing needs at the national and global levels, thereby helping to ensure that the initiative remains relevant and effective until 2030 and beyond.

2. ACCOUNTABILITY FOR SUSTAINABLE ENERGY FOR ALL COMMITMENTS

The Sustainable Energy for All initiative seeks to bring strategic focus to individual actions, scale up existing best practices and successful initiatives, foster partnerships, and provide all stakeholders with clear indicators of progress toward the initiative's objectives. The goal is to create a system that leads to better information for better results and better tracking of resources.

The Accountability Framework complements the Global Tracking Framework by measuring progress on the voluntary public-private commitments made to advance the initiative's three objectives. Together the Accountability and Tracking Frameworks will ensure that the initiative is well defined and can successfully track both commitments to action and overall progress on the three objectives.

FIGURE 2 **A FRAMEWORK FOR ACTION**

Commitment Development

The initiative's Global Facilitation Team helps potential commitment makers develop and structure commitments, ensuring that they are relevant and well-defined, while sharing best practices for implementation (see section below on "Making a commitment to Sustainable Energy for All"). In order to support quality commitments, once commitments are submitted on the Sustainable Energy for All website (www.SE4All.org), they are reviewed and screened by initiative staff. If necessary, commitment makers are contacted for clarifications or to gather additional information about the commitment. (The commitment form, which appears on the Sustainable Energy for All website, including all the fields commitment makers are required to fill in, is in Annex I).

Recognition

Once approved, commitments are formally registered with the Sustainable Energy for All initiative and are made public on the initiative's website (www.SE4All.org). Registered commitments are searchable against a wide range of criteria such as objective, action area, country, and sector (in development in late 2013). The most notable commitments are highlighted on the website, in stories of progress and in case studies, in press releases, and/or are featured at Sustainable Energy for All events.

Action

Commitments are executed alone or in partnership with other stakeholders. Some commitments lead to concrete actions and have a direct impact within a defined period of time. Other commitments involve activities that make a significant and lasting impact by establishing an enabling environment for action by other stakeholders or in the future.

Reporting

During the implementation of their commitment, businesses and industry organizations will be required to provide an annual update on progress that will be made publicly available. The update submitted is not required to be a standalone report, but can be part of the organization's annual communications, such as the annual report or other types of regular public reports. Reporting should include updates on progress against any targets laid out in the commitment submission, as well as progress achieved in establishing collaborative ventures around the commitment. (The Commitment Progress Report Submission Form is in Annex II).

Review

Initiative staff will review all reports to ensure that commitments are being acted upon. Feedback will be given to help improve performance on commitments if they are not on track to be met. Commitments may be delisted if they are significantly off track or not seriously being pursued.

Learning

As activities are undertaken, achievements and best practices are shared via the website, printed materials, and public events.

More Recognition

Successful actions will be publicly commended through events, the website, and communications such as press releases. Additional recognition may be given by the initiative if an organization opts to have its progress report reviewed and audited by an independent third party.

More Action

This process should lead to enhanced and improved action over time.

The Sustainable Energy for All initiative's focus on commitments, reporting, and accountability derives in large part from the successful experiences of the UN's Every Woman Every Child initiative and the Clinton Global Initiative:

Every Woman Every Child

The UN Secretary-General's Every Woman Every Child initiative seeks to mobilize and intensify global action to improve the health of women and children around the world. Working with leaders from governments, multilateral organizations, the private sector and civil society, Every Woman Every Child aims to save the lives of 16 million women and children and improve the lives of millions more.

- ▶ Advance the goals outlined in the *Global Strategy*;

- ▶ Be long term (e.g. over several years);

- ▶ Be sustainable (e.g. public private partnerships with sustainable business models);

- ▶ Be innovative (e.g. innovative policies, new low-cost technologies, innovative partnerships, etc.);

- ▶ Have a measurable impact.

> *(Reference: www.everywomaneverychild.org)*

Clinton Global Initiative

Established in 2005 by President Bill Clinton, the Clinton Global Initiative (CGI) convenes global leaders to create and implement innovative solutions to the world's most pressing challenges. To date CGI members have made more than 2,300 commitments, which have impacted the lives of more than 400 million people in more than 180 countries. When fully funded and implemented, these commitments are expected to be valued at more than $73.1 billion.

A Commitment to Action — the defining feature of CGI — is a plan for addressing a significant global challenge. Commitments can be financial or non-monetary in nature. Many commitments are the result of cross-sectoral partnerships, with CGI members combining efforts to expand their impact. CGI supports the development of commitments by facilitating dialogue, providing opportunities to identify partners, showcasing the actions taken by commitment-makers, and communicating results. CGI serves as a catalyst for action, but does not engage in the actual implementation of commitments.

Every CGI commitment must meet three basic criteria:

New – A CGI commitment is a plan that presents a new idea or approach to a major challenge. While preexisting work is not eligible, an expansion of a successful program qualifies as a valid Commitment to Action. Additionally, participants can become partners on other CGI commitments, for example, by providing in-kind support or technical expertise.

Specific – A CGI commitment must outline a specific approach to a problem, have clear and feasible objectives to be accomplished within a defined period of time, and articulate the desired outcome of the effort.

Measurable – A CGI commitment must have specific quantitative or qualitative goals that can be monitored by the commitment-maker to evaluate progress over time. As each commitment is implemented, annual progress is reported to CGI to show the extent of its impact.

> *(Reference: www.clintonglobalinitiative.org)*

Overview of Sustainable Energy for All Commitments To Date

Since its launch in September 2011, Sustainable Energy for All has registered close to 200 commitments to action from governments, businesses, international institutions, and civil society:

▸ 81 governments from Africa, Asia, Latin America, and Small Island Developing States have signed up to participate. Most have already initiated or completed energy sector assessments and gap analyses, laying the groundwork to scale up action in priority areas, undertake policy reforms where needed, and attract new investments and financial support.

▸ Businesses and investors committed more than USD $50 billion toward the initiative's three objectives. Additional large-scale funding was committed by other key stakeholders – governments, multilateral development banks, and international institutions – to catalyze action in support of the initiative.

▸ A number of multi-stakeholder partnerships have been forged with potentially transformative impacts. Focused on more than 40 "High-Impact Opportunities" identified by the initiative's Global Action Agenda, these partnerships promote key sectoral and cross-cutting priorities, including: zero-emission vehicles, lighting technologies for the rural poor, clean cooking solutions, and gas flaring reduction.

▸ Hundreds of actions and commitments are under way in support of Sustainable Energy for All, supported by businesses, donors, entrepreneurs, organizations, artists, and individual volunteers. For example, the rock band Linkin Park, which has some 44 million Facebook followers globally, launched a petition drive on its Power the World website urging an end to energy poverty.

▸ More than a billion people will benefit from these commitments. Developing countries in particular will gain improved access to electricity and clean cooking solutions through scaled-up renewable energy resources, increased investment, and improved energy policies. Much of the private investment will deliver increased adoption of energy efficiency and renewable energy in industrialized countries.

A detailed list of commitments to action in support of Sustainable Energy for All is on the Sustainable Energy for All website: www.SE4All.org

3. GLOBAL TRACKING FRAMEWORK

Reliable statistics for energy access, renewable energy, and energy efficiency are needed to establish a starting point for the Sustainable Energy for All initiative and sustain political commitment until 2030. While credible global energy databases exist, they need to be adapted to track progress towards the three objectives.

With this in mind, the High-level Group on Sustainable Energy for All requested the development of a Global Tracking Framework report, which was published in May 2013 with support from the UK Department for International Development and is available online at:

http://www.worldbank.org/en/topic/energy/publication/Global-Tracking-Framework-Report.

This report seeks to map out and measure long-term progress on the initiative's three objectives.

The report includes a review of existing energy indicators, development of a methodology, and creation of analytical tools for indicators, along with a proposed process for tracking progress on an annual basis. The analytical tools for data collection and processing are being integrated into the monitoring and reporting framework to institutionalize the process for annual collection and publication of data. In addition, the Global Tracking Framework seeks to define appropriate methodologies for measuring the extent of access to modern energy services, the global share of renewable energy, and the global rate of improvement in energy efficiency, analyze global trends towards achieving the initiative's objectives, and establish the baseline starting point in each case. It also highlights high-performing countries that have made good progress in the past, and identifies countries and sectors in which opportunities for high-impact action exist due to unrealized potential.

The Global Tracking Framework was jointly prepared by the World Bank and the International Energy Agency, with the support of a Steering Group comprising other international energy knowledge institutions, including IIASA, IPEEC, IRENA, Practical Action, REN21, UNDP, UNEP, UNIDO, the UN Foundation, the World Energy Council, and WHO.

4. MAKING A COMMITMENT TO SUSTAINABLE ENERGY FOR ALL

When submitting a commitment to Sustainable Energy for All, stakeholders must meet the following criteria in order to maintain the integrity of the commitment-making process and the quality of the commitments.

All commitments must include:

▶ A promise to take action now or in the future in support of one or more of the three objectives;

▶ A leadership commitment from the highest-level decision-making body/individual in the organization;

▶ A commitment to transparency through annual reporting on progress;

▶ A description of time-bound targets/goals that can be measured for success; and

▶ A general description of key resources and/or partners dedicated to achieve these targets.

Commitments should fit within one of the following three types of action:

▶ Scaling up or accelerating existing activities;

▶ Launching new projects or partnerships;

▶ Adding additional partners to existing projects; or

▶ Renewing commitments made recently, if activities to realize these commitments are still ongoing.

All commitments must include:

▶ Core business operations and value chains;

▶ Strategic social investment and philanthropy; or

▶ Advocacy and public policy engagement.

Although each approach is valuable, commitments closely tied to the commitment-maker's mission are more durable, sustainable, and likely to drive real impact and change. In addition, commitments that have been developed in partnership with other organizations usually have a larger impact. Organizations making commitments should consider the potential additional positive impacts beyond the scope of immediate targets. Organizations should also aim to mitigate potential negative impacts that may inadvertently arise in the implementation of the commitment.

5. COUNTRY COMMITMENTS

To date 81 governments have formally joined the initiative, thereby committing to design and implement country action plans that will transform their energy systems. Country commitments are the focus of second phase of the Sustainable Energy for All initiative, which is underway in 2013. Going forward, as country-level commitments and action are fleshed out, this Accountability Framework will need to be expanded to include guidance and a process for tracking country commitments over time. Once this aspect of the Accountability Framework has been developed, committing countries should formally sign on to the Accountability Framework.

ANNEX I

Commitment Submission Instructions

In this file you will find a simple form divided into six content tabs for you to complete in submitting your commitment to the Sustainable Energy for All initiative.

In addition, we have provided you with a brief description of a commitment, including the general criteria. Please ensure that your commitment meets these guidelines in order to facilitate the submission and acceptance process.

Finally, your commitment submission must be complete in order for it to be accepted for review by the initiative. Please use the "Submission Completeness Check" tab to ensure that you have filled in all required components. Some components are optional and others only need to be completed if applicable/relevant to your commitment.

By submitting a commitment you agree that your commitment may be made public by Sustainable Energy for All and its partner organizations once it has been approved. In addition, Sustainable Energy for All and its partner organizations reserve the right to audit any commitment and its annual communication on progress once it has been submitted and until it is completed.

If you have any questions regarding the commitment submission process, please contact:

Sujeesh Krishnan - skrishnan@sustainableenergyforall.org

What is a commitment to Sustainable Energy for All?

Commitments can take many forms, leveraging the unique strengths and characteristics of each actor. All commitments are voluntary promises to take action, alone or in partnership, including:

▶ Scaling up or accelerating existing activities;
▶ Launching new projects or partnerships;
▶ Adding additional partners to existing projects; or
▶ Renewing commitments made recently, if activities to realize these commitments are still ongoing.

When submitting a commitment, organizations must also ensure that the commitment meets certain criteria, which include:

▶ A description of time-bound targets/goals that can be measured for success
▶ A commitment to transparency demonstrated through annual reporting on progress
▶ A general description of key resources and/or partners dedicated to achieve the targets
▶ Targets that advance one or more of the Sustainable Energy for All objectives

Commitments should align with one of three main methodologies in order to contribute to social and economic development:

▶ Core business operations and value chains;
▶ Strategic social investment and philanthropy; or
▶ Advocacy and public policy engagement.

Commitments that have been developed in consultation with other organizations can often have a larger impact. Organizations making commitments should consider the potential additional positive impacts beyond the scope of the immediate targets. Organizations should also aim to mitigate any potential negative impacts that may inadvertently arise through the implementation of the commitment.

Within each methodology, it is believed that commitments can have the greatest impact when the target is to create systemic change throughout the business's organization and in alignment with the Action Agenda and High-Impact opportunities. For example:

▶ A company may commit to a 20% reduction in energy use across the manufacturing plants they operate in all geographies within five years, or
▶ A company may commit to implementing a worldwide renewable energy policy in partnership with a global NGO to address energy use issues in all of its operations by 2015.

For those companies not yet at the point of committing to full organizational changes, individual projects aligned with the Action Agenda and High-Impact Opportunities are also recognized commitments that will impact specific geographies and/or people. For example:

▶ A company may decide to provide energy access to the community surrounding one of its plants in partnership with the local government so that 80% of inhabitants have access by 2018, or
▶ A company may augment a single product line to only produce using renewable energy sources within two years.

In addition to the above actions related more specifically to the contributions of a company acting independently or in direct partnerships, it is recognized that larger collaborative or collective actions and initiatives aligned with the ten principles can often lead to great impact by creating enabling environments and larger scale outcomes. For example:

▶ A company may commit to convening an industry-wide collaboration with a worldwide NGO in order to develop the next level of industry standards for renewable use in manufacturing processes within four years, or
▶ A company may commit to work with a large network of public and private stakeholders and national governments on collective action targeted at creating global policy recommendations on how to eliminate high-impact drilling methods by 2020.

All required components must be completed in order for commitment
submission to be accepted

	Question	Complete?	Required?
Organization(s) and Partners	Organization(s) Making the Commitment		*Required*
	Multiple Responsible Organizations?		*Optional*
	Will the organization(s) making this commitment engage with additional partners in realizing the commitment?		*Required*
	Name of additional partner(s)		
	Is this commitment still open for additional partners?		
	Contact Email for Partnership Inquiries		
Contact Point(s)	Main Contact Point		
	Main Contact Address		
	Additional Contact(s)		
Industry	Industry/Sector		*Required*
Commitment	Title of Commitment		*Required*
	Countries where commitment is being implemented		*Required*
	Commitment Summary		*Required*
	Commitment Target(s)		*Required*
	Supporting Resources		*Required*
	Additional Details		*Optional*
Goals and Issues	Sustainable Energy for All Objective(s) Addressed		*Required*
	Additional Issue(s) Addressed		
Accountability	Commitment to Transparency		*Required*
	Certification of authority to submit commitment		*Required*

Please complete information about the organization(s) making this commitment
and any additional partners

Name of Organization(s) Making Commitment*	Website	Type of Organization	Approximate Number of Employees	
				Required
				Optional
				Optional
				Optional
				Optional

* Note: *All organizations listed above are accountable for the commitment made. These organizations are required to complete an annual communication on progress for the duration of the commitment, either jointly or individually, as laid our in the accountability section of the submission form.*

Will the organization(s) making this commitment engage with additional partners in realizing the commitment?		*Required*

Name of additional partner(s)		*Required if Additional Partners*

Is this commitment still open for additional partners?		*Required if Partnering*

Contact Email for Partnership Inquiries*		*Required if Open for Partners*

* Note: *This email address will be made publicly available for partnership inquiries. Once a partner has made contact and an agreement has been reached on establishing an official partnership, please contact skrishnan@sustainableenergyforall.org to add the partner to the commitment.*

Please select all industries/sectors that apply to the organization(s) making the commitment

Required

Industry/Sector	Yes/No
Accounting and Management Services	
Advocacy and Legal Services	
Aerospace & Defense	
Agriculture and Livestock	
Alternative Energy	
Aquaculture	
Arts and Culture	
Automobiles & Parts	
Banks	
Beverages	
Chemicals	
Community and Social Services	
Construction & Materials	
Education and Training	
Electricity	
Electronic & Electrical Equipment	
Equity Investment Instruments	
Financial Services	
Fixed Line Telecommunications	
Food & Drug Retailers	
Forestry & Paper	
Gas, Water & Multiutilities	
General Industrials	
General Retailers	

Industry/Sector	Yes/No
Health Care Equipment & Services	
Household Goods & Home Construction	
Industrial Engineering	
Industrial Metals & Mining	
Industrial Transportation	
Leisure Goods	
Life Insurance	
Media	
Mining	
Mobile Telecommunications	
Nonequity Investment Instruments	
Nonlife Insurance	
Oil & Gas Producers	
Oil Equipment, Services & Distribution	
Personal Goods	
Pharmaceuticals & Biotechnology	
Public Administration	
Real Estate Investment & Services	
Real Estate Investment Trusts	
Software & Computer Services	
Technology Hardware & Equipment	
Tobacco	
Travel & Leisure	

Please complete information about the organization(s) making this commitment
and any additional partners

Main Contact Point	Name:		Required
	Email:		

Main Contact Address	Address 1:		Required
	Address 2:		Optional
	City:		Required
	State/Province:		Required if Applicable
	Country:		Required
	Postal Code:		Required

	Name	Email	
Additional Contact(s)			Optional
			Optional
			Optional
			Optional
			Optional
			Optional
			Optional
			Optional

Please complete the descriptive information about the commitment

Title of Commitment:		Required

Countries where commitment will be implemented:		Required

Commitment Summary:		Required

Commitment Target(s)	Target Year	
		Required
		Optional
		Optional
		Optional
		Optional
		Optional
		Optional
		Optional
		Optional
		Optional

Supporting Resources		Required

Additional Details		Optional

Please select the objectives and all other relevant issues that apply to the commitment

Sustainable Energy for All Target(s) Addressed	Yes/No	
Access to Energy		Required
Energy Efficiency		
Renewable Energy		

Additional Issues Addressed by Commitment	Yes/No	
Agriculture, aquaculture, and forestry		
Anti-corruption, transparency, and law enforcement		
Arts and culture		
Children, youth, and family welfare		
Community development		
Disability issues		
Disasters and humanitarian affairs		
Education and training		
Energy		
Environment and climate change		
Financial accessibility and management		
Food security and nutrition		
Gender issues		
HIV/AIDS, TB, malaria, health, and medical		Optional
Human rights		
Information and communications technologies		
Job creation and enterprise development		
Labor conditions		
Migration and population		
Millennium Development Goals		
Mine action		
NEPAD primary objectives		
Peace and security		
Refugees and internally displaced persons		
Shelter, housing, land management, and construction		
Tourism, travel, leisure, and sports		
Trade and development		
Transportation and logistics		
Water and related ecosystems		

Please complete the accountability information for the organization(s) making the commitment

Commitment to Transparency:	Agreement to these points:	
Organization(s) making this commitment agree to complete, either jointly or individually, an annual communication on progress to stake-holders (e.g., investors, consumers, civil society and Governments), briefly describing progress made in realizing the commitment.		Required
The appropriate form for this communication on progress will be left to the discretion of the organization(s) making the commitment. It can be as a separate document or be included in for example the Annual Report, Global Compact Communication on Progress or other Sustainability/CSR report.		
Organization(s) will submit a copy of or link to this annual communication to the initiative		
Organization(s) understand(s) that failure to meet these requirements may result in a public de-listing of the commitment		

I certify that I have authority to submit this commitment on behalf of the organization(s) making the commitment*		
Name:		Required
Organization:		Required
Title:		Required
Date:		Required

*Note: You may optionally attach a document laying out the agreement between partners when multiple organizations are making the commitment.

ANNEX II

Commitment Progress Report Submission Form

General Information

Institution Name: _____

Institution Category: ☐ Government ☐ Business ☐ Civil Society ☐ International Organization

SE4All Contact: _____ Email: _____ Phone: _____

Commitment Title: _____

Commitment Status: ☐ Not Started ☐ Underway ☐ Near Completion ☐ Complete

SE4All Goals Addressed: ☐ Energy Access ☐ Energy Efficiency ☐ Renewable Energy
(Multiples Allowed)

Commitment Type (Multiples Allowed): ☐ Policy/Regulations ☐ Financing & Investment
☐ Business model development ☐ Capacity Building ☐ Technology Development & Transfer
☐ Knowledge sharing ☐ Advocacy & Communications

Does your commitment action explicitly promote any of the following?: ☐ Public-private partnerships
☐ Gender and women's empowerment ☐ Civil society empowerment and participation
☐ Employment and job creation ☐ South-south cooperation

Optional Indicators
Please add quantitative values where possible.

☐ Capacity Added (kW)	☐ Energy Saved (%) (vs. baseline)	☐ # of People Impacted
☐ # of Devices Distributed	☐ CO2 Reduced	☐ $ Invested

Progress Description

<<Please describe your progress on your commitment to date. Please kindly keep the progress descriptions very concise and to the point, focused on key achievements in a few bullet points only. Any longer descriptions can be attached in an annex as needed>>

Additional Documentation

Please include all relevant documentation of progress, along with this questionnaire, in the return email to the appropriate SE4All Global Facilitation Team member. In the email, please list all documents included in your attachment, along with document descriptions.

SUSTAINABLE
ENERGY FOR ALL

www.sustainableenergyforall.org